| • | .C 07 | Rec'd PCT/PTO 3 n .IAN 2002 | | | | | | |
|--|---|--|--|--|--|--|--|--|
| FORM PTO-1390 U.S. DEPAI (REV 10-25) | RTHENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER | | | | | | |
| TRANSMITTAL LETTER | | | | | | | | |
| DESIGNATED/ELECT | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | | |
| CONCERNING A FILI | 10/049217 | | | | | | | |
| INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | |
| PCT/JP01/04599 | May 31, -2001 - | May 31, 2000 | | | | | | |
| TITLE OF INVENTION RECORDING MEDIUM, RECORDING METHOD OF RECORDING MEDIUM, RECORDING | | | | | | | | |
| AND/OR REPRODUCING METHOD OF RECORDING MEDIUM, AND DATA COPY CONTROL METHOD APPLICANT(S) FOR DO/EO/US Yoichiro Sako | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | |
| Gling under 25 U S C 371 | | | | | | | | |
| 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. | | | | | | | | |
| 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| a. X is transmitted herewith (required only if not transmitted by the International Bureau). | | | | | | | | |
| b. has been transmitted by the International Bureau. | | | | | | | | |
| c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| is all be with (exceptions) only if not transmitted by the International Bureau). | | | | | | | | |
| a. are transmitted herewith (required only if not transmitted by the International Bureau | | | | | | | | |
| b. have been transmitted by the International Bureau. c. have not been made; however, the time limit for making such amendemnts has NOT expired. | | | | | | | | |
| in the made and will not be made | | | | | | | | |
| E C C C C C C C C C C C C C C C C C C C | | C. 371(c)(3)). | | | | | | |
| And the state of t | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| · • | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | |
| A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | |
| Items 11. to 16. below concern docume | | | | | | | | |
| | ement under 37 CFR 1.97 and 1.98. | | | | | | | |
| 2. An assignment document for rec | cording. A separate cover sheet in compliance | e with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 13. A FIRST preliminary amendmen | nt. | | | | | | | |
| A SECOND or SUBSEQUENT | preliminary amendment. | | | | | | | |
| 14. A substitute specification. | | | | | | | | |
| 15. A change of power of attorney a | and/or address letter. | | | | | | | |
| 16. X Other items or information: | | | | | | | | |
| 1. Formal Drawing <u>10</u> fi | gures <u>8</u> sheets | | | | | | | |
| 2. Copy of Search Repor | t and its English Translation | | | | | | | |
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| U.S. APPLICATION NO (II | 01.9997 | INTERNATIONAL APPLICATION NO | | | ATTORNEY'S DOC | CET NUMBER |
|--|--|---|--------------------------|----------|--------------------|----------------------------|
| | llowing fees are submitted | · | | CA | LCULATIONS | PTO USE ONLY |
| . — | AL FEE (37 CFR 1.492) | | | | | |
| | | e EPO or JPO | s | | | |
| | | | Ť | | | |
| Internationa | I preliminary examination | fee paid to USPTO (37 CFR 1.4 | ⁸²⁾ s | | | |
| | | | | | | |
| No internati but internati | onal preliminary examinat onal search fee paid to US | ion fee paid to USPTO (37 CFR PTO (37 CFR 1.445(a)(2)) | 1.482) . 5 | | | |
| Neither inte internationa | mational preliminary exam I search fee (37 CFR 1.445 | nination fee (37 CFR 1.482) nor (a)(2)) paid to USPTO | s | | | |
| Internationa and all clain | l preliminary examination as satisfied provisions of P | fee paid to USPTO (37 CFR 1.48 CT Article 33(2)-(4) | 32) . S | | | |
| | ENTER APPRO | PRIATE BASIC FEE AN | 10UNT = | S | 890 | |
| Surcharge of \$13 months from the | 0.00 for furnishing the oatlearliest claimed priority da | n or declaration later than 20 te (37 CFR 1.492(e)). | 30 | S | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | |
| Total claims | 44 -20 = | 24 | X \$18 | S | 432 | |
| Independent claims | | 4 | X \$80 | S | 320 | |
| MULTIPLE DEP | ENDENT CLAIM(S) (if appl | | + S | S | | |
| <u> </u> | TOTAL | OF ABOVE CALCULA | TIONS = | S | 1,642 | |
| Reduction of 1/2 must also by filed | for filing by small entity, i i (Note 37 CFR 1.9, 1.27, 1 | f applicable. Verified Small Ent 1.28). | ity Statement | S | | |
| SUBTOTAL = | | | S | 1,642 | i | |
| Processing fee of | \$130 00 for furnishing the | English translation later than | 20 30 | S | 1,042 | |
| months from the | earliest claimed priority da | te (37 CFR 1.492(f)). | □ + | | | |
| | | TOTAL NATION | | S | | <u> </u> |
| Fee for recording accompanied by | the enclosed assignment (an appropriate cover sheet | 37 CFR 1.21(h)). The assignment (37 CFR 3.28, 3.31). \$40.00 per | nt must be property + | S | 40 | |
| | | TOTAL FEES ENC | LOSED = | S | 1,682 | 1 |
| | | | | A | nount to be: | S |
| | | | | <u> </u> | refunded | 3 |
| [| | | | | charged |] - |
| b. Please of A dupli | charge my Deposit Account cate copy of this sheet is entire mmissioner is hereby authorized to Deposit Account in | t No. in the | amount of \$ | | uired, or credit a | ver the above fees. uny |
| 1.137(a) or (b) SEND ALL CORRE Jay H. Ma Cooper & 1 1185 Avens |) must be filed and grante spondence to: ioli,Esq. Dunham LLP ue of the America: New York 10036 278-0400 | it under 37 CFR 1.494 or 1.49 ed to restore the application to | signatu NAME | Jay | H. Maioli | |
| | - | | | | | |